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**PATENT**  
Attorney Docket No. 204843  
Client Reference No. 155611.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**RECEIVED**

JUN 17 2004

In re Application of:

Giliberto et al.

Application No. 09/556,567

Filed: April 24, 2000

Group Art Unit: 2143

Technology Center 2100

Examiner: George C. Neurauter

For: EXPOSING BLUETOOTH COMPLIANT  
WIRELESS DEVICE CONNECTIONS  
AS MODEMS OR SOCKETS

**CERTIFICATION OF MAILING**

I hereby certify that this SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: 6.10.04

*Helma Del Nagro*

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Pursuant to 37 CFR 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 and/or Substitute Form PTO-1449 ("Form 1449") are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form 1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form 1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so.

Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Information Disclosure Statement is being filed:

- ☐ **within** any one of the following time periods: (a) within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d); (b) within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 of an international application; (c) before the mailing date of a first Office Action on the merits; or (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.
- ☒ **after** (a), (b), (c) or (d) above, but before the mailing date of a final action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and includes *one* of:
- ☐ the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below).
- or*
- ☒ the fee of \$180 set forth in 37 CFR 1.17(p) (see "Fees" below).
- ☐ **after** the mailing date of a final action under 37 CFR 1.113 or a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and on or before payment of the issue fee, and includes the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).
- ☐ **after** the mailing date of a Notice of Allowance under 37 CFR 1.311, and on or before payment of the issue fee, and **within** thirty days of receiving each item of information contained in the Information Disclosure Statement, and includes the Statement under 37 CFR 1.704(d) (see "Statement under 37 CFR 1.704(d)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).

NOTE: This is for original applications except applications for a design patent, filed on or after May 29, 2000, wherein a paper containing only an Information Disclosure Statement in compliance with 37 CFR 1.97 and 1.98 is being filed.

### Copies of the References

- ☒ Copies of all of the references listed on the enclosed Form 1449 are enclosed herewith.
- ☐ This patent application was filed after June 30, 2003. Accordingly, copies of U.S. patents and patent applications that are listed on the accompanying Form 1449 are not enclosed herewith (see Official Gazette Notice of August 5, 2003). Copies of other references identified on the accompanying Form 1449 are enclosed herewith.
- ☐ Attached to each reference not in the English language is a concise explanation of the relevance pursuant to 37 CFR 1.98(a)(3). An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the

degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of the relevance pursuant to 37 CFR 1.98(a)(3).

- ☐ A copy of the foreign search report is enclosed herewith.
- ☐ The references listed on the enclosed Form 1449 were previously identified in the parent application(s) of the present application, and copies of the references were furnished at that time. Accordingly, additional copies of the references are not submitted herewith, so as not to burden the file with duplicate copies of references. The Examiner is respectfully requested to carefully review the references in accordance with the requirements set out in the Manual of Patent Examining Procedure. In accordance with 37 CFR 1.98(d), the details of the parent application(s) relied upon for an earlier filing date under 35 USC 120 in which copies of the references were previously furnished are set out below:

U.S. APPLICATIONS		Status ( <i>check one</i> )		
U.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
1.				
2.				
3.				

#### Other Applications

- ☒ A previous IDS, filed for this application on May 2, 2001, directed the Examiner's attention to twenty other pending applications. Upon examination, it is clear that the current application is not related to all of these other applications. Instead, the present application is related to the following applications and patents:

Application Number	Filing Date	Attorney Reference	Status
09/302,735	April 30, 1999	13768.88	Issued as Patent 6,633,929
09/556,565	April 24, 2000	204849	Abandoned
09/556,568	April 24, 2000	204858	Abandoned
09/587,749	June 5, 2000	205237	Allowed but not yet issued
09/707,120	November 6, 2000	206966	Pending
09/784,474	February 15, 2001	207386	Pending

#### Statement under 37 CFR 1.97(e)

- ☐ The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ The **undersigned** hereby states that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in

In re Appln. of Giliberto et al.  
Application No. 09/556,567

37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

**Statement under 37 CFR 1.704(d)**

- ☐ The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

**Fees**

- ☐ No fee is owed by the applicant(s).  
☒ The **IDS Fee of \$180** under 37 CFR 1.17(p) is enclosed herewith.

**Method of Payment of Fees**

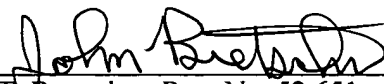
- ☐ Attached is a check in the amount of \$ .  
☒ Charge Deposit Account No. 12-1216 in the amount of \$180.00. (A duplicate copy of this communication is enclosed for that purpose.)

**Authorization to Charge Additional Fees**

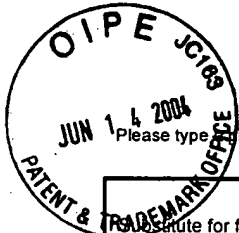
- ☒ If any additional fees are owed in connection with this communication, please charge Deposit Account No. 12-1216. (A duplicate copy of this communication is enclosed for that purpose.)

**Instructions as to Overpayment**

- ☒ Credit Account No. 12-1216.  
☐ Refund

  
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John T. Bretscher, Reg. No. 52,651  
LEYDIG, VOIT & MAYER, LTD.  
Two Prudential Plaza, Suite 4900  
180 North Stetson Avenue  
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(312) 616-5700 (facsimile)

Date: June 10, 2004



Please type plus sign (+) inside this box → ☐

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/556,567 JUN 17 2004
				Filing Date	April 24, 2000
				First Named Inventor	Louis Giliberto Technology Center 2100
				Group Art Unit	2143
Examiner Name	George C. Neurauter				
Sheet	1	of	2	Attorney Docket Number	204843

U.S. PATENT DOCUMENTS						
Examiner Initials	Doc. No.	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication	Filing Date If Appropriate
		Application or Patent Number	Kind Code			
	A J	5,566,331		Irwin, Jr. et al.	10/15/96	01/24/94
	A K	5,727,212		Dinallo	03/10/98	04/12/95
	A L	5,918,158		LaPorta et al.	06/29/99	07/24/96
	A M	5,949,776		Mahany et al.	09/07/99	08/12/97
	A N	6,202,147	B1	Slaughter et al.	03/13/01	06/29/98
	A O	6,219,707	B1	Gooderum et al.	04/17/01	01/19/99
	A P	6,226,719	B1	Minow	05/01/01	05/08/98
	A Q	6,470,397	B1	Shah et al.	10/22/02	03/30/99
	A R	6,480,711	B1	Guedalia	11/12/02	09/15/98
	A S	6,600,726	B1	Nevo et al.	07/29/03	11/12/99
	A T	6,603,744	B2	Mizutani et al.	03/06/03	08/06/98
	A U	2002/0012329	A1	Atkinson et al.	01/31/02	05/04/01

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Doc. No.	Foreign Patent Document			Name of Patentee or Applicant	Date of Publication	Translation	
		Office	Application or Patent Number	Kind Code			Yes	No**

OTHER - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Doc. No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Translation	
			Yes	No**
	A V	CANT: "Windows Driver Model (WDM) Device Drivers", PHD Computer Consultants Ltd, Copyright 1998, 1999 (13 pgs.)		
	A W	FLEMING et al.: "Architectural Overview of Intel's Bluetooth Software Stack", Intel Technology Journal Q2, 2000 (10 pgs.)		
	A X	FOLEY: "Bluetooth User Experience in Windows" (27 pgs.)		
	A Y	HALL et al.: "Windows Sockets", Version 1.1, 20 January 1993 (pgs. ii and 4-11)		
	A Z	KARDACH: "Bluetooth Architecture Overview" (33 pgs.)		
	B A	"Bluetooth Design Review - Windows Whistler IRPs for Bluetooth", Microsoft Confidential Draft Version 0.7, June 5, 2000 (23 pgs.)		

Examiner Signature		Date Considered	
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\* A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).

+ An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).



*(Use as many sheets as necessary)*

Sheet	2	of	2
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Application Number	09/556,567	JUN 17 2004
Filing Date	April 24, 2000	
First Named Inventor	Louis Giliberto	Technology Center 2
Group Art Unit	2143	
Examiner Name	George C. Neurauter	
Attorney Docket Number	204843	

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